



ICANN Reviews Update

**Update on Reviews from the Multistakeholder Strategy
and Strategic Initiatives Team**

Larisa Gurnick

MSSI Presentation
11 October 2017



Agenda

1

Reviews

2

Operating
Standards

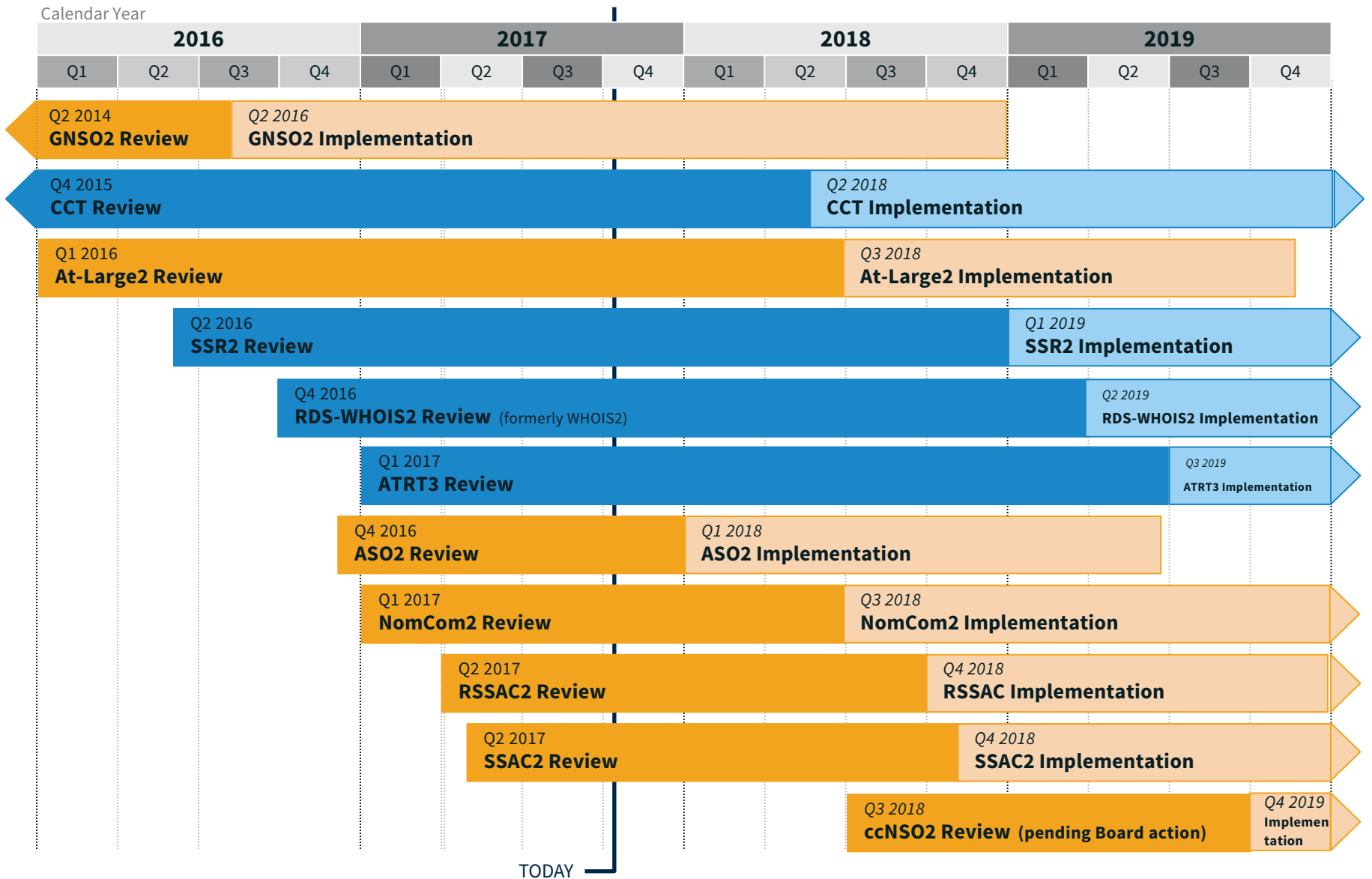
3

Opportunities to
Participate

REVIEWS

Agenda Item #1

Reviews Timeline



Review Timing and Triggers

ICANN Bylaws Article/Section 4.6 mandate the triggers that cause Specific Reviews to commence:

(vi) The Accountability and Transparency Review shall be conducted no less frequently than every five years measured from the date the previous Accountability and Transparency Review Team was convened.

(v) The SSR Review shall be conducted no less frequently than every five years, measured from the date the previous SSR Review Team was convened.

(ii) After a New gTLD Round has been in operation for one year, the Board shall cause a competition, consumer trust and consumer choice review as specified in this Section 4.6(d) ("CCT Review").

(v) The Directory Service Review shall be conducted no less frequently than every five years, measured from the date the previous Directory Service Review Team was convened, except that the first Directory Service Review to be conducted after 1 October 2016 shall be deemed to be timely if the applicable Directory Service Review Team is convened on or before 31 October 2016.

ICANN Bylaws Article/Section 4.4 mandate the triggers that cause Organizational Reviews to commence:

Org Reviews: These periodic reviews shall be conducted no less frequently than every five years, based on feasibility as determined by the Board. Each five-year cycle will be computed from the moment of the reception by the Board of the final report of the relevant review Working Group.

7 Phases for Specific Reviews

1

Review Pre-Planning, where the organization prepares to support the Review

4

Conduct the Review, includes analysis, assessment and recommendation development

2

Initialization and Selection of the Review Team

5

Board Consideration, where the Final Report and accompanying action plan is accepted or rejected

3

Planning, where the Review Team develops its approach to the Review

6/7

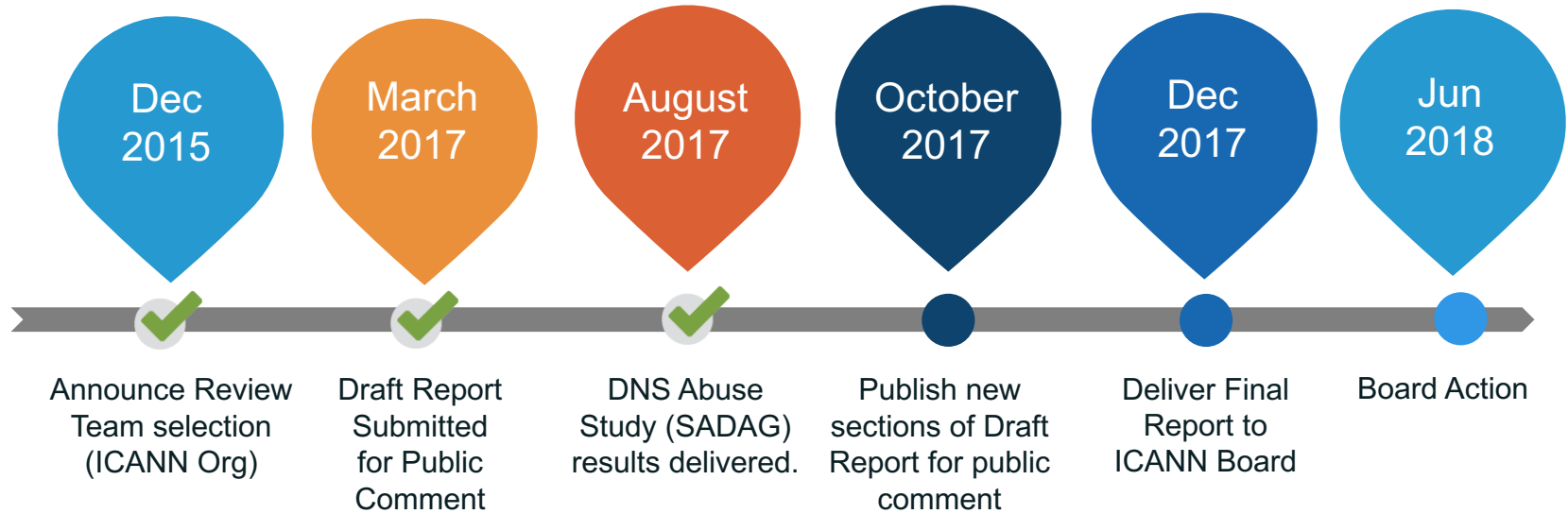
Implementation and Ongoing Support of the recommendations

Overview of Observed* Issues

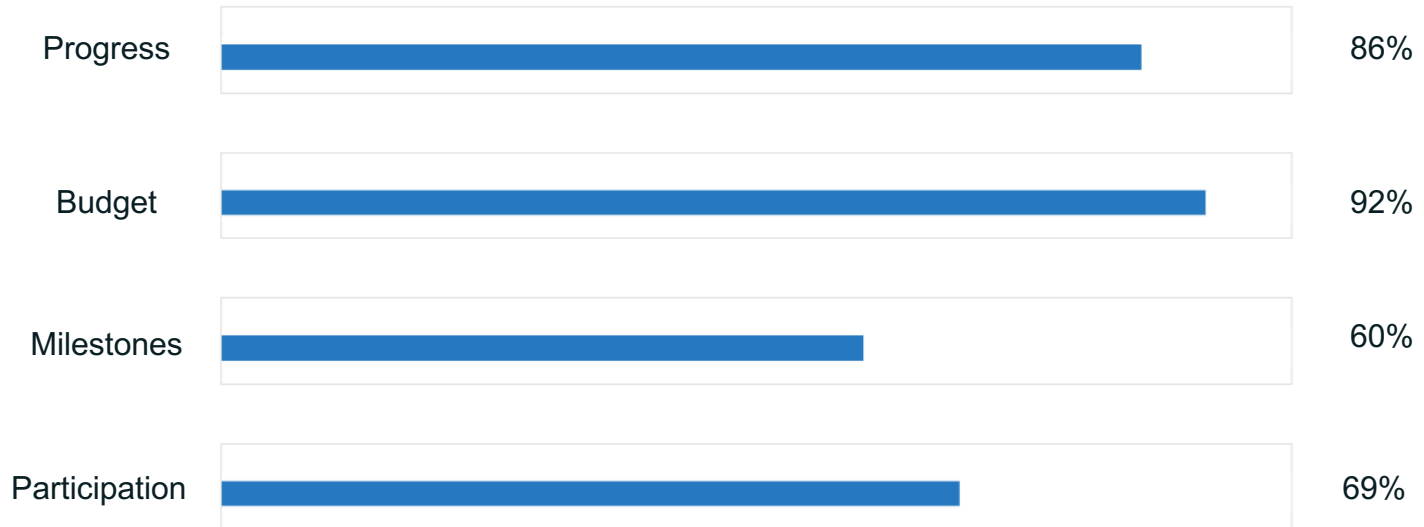
- **Selection of Review Team** – unclear checks and balances over skills and diversity; difficult for people to participate if they are not already engaged and well known; hesitation by SO/AC to nominate people without understanding of scope of work and resulting time commitment and skills.
- **Topics/Scope of Work** – scope not finalized until the Review Team is assembled may prevent people from applying to serve or cause Review Team members to participate less if Review demands turn out to be greater than envisioned.
- **Simultaneous reviews** – limited number of volunteers available to participate, contributing to volunteer fatigue and significant burden carried by a few individuals.
- **Unclear expectations** – without a clear definition of desired outcome and impact from Reviews, difficult to evaluate whether the Reviews are producing intended results.

*Observed by ICANN community, ICANN Board and ICANN organization





CCT: Review Timeline and Progress



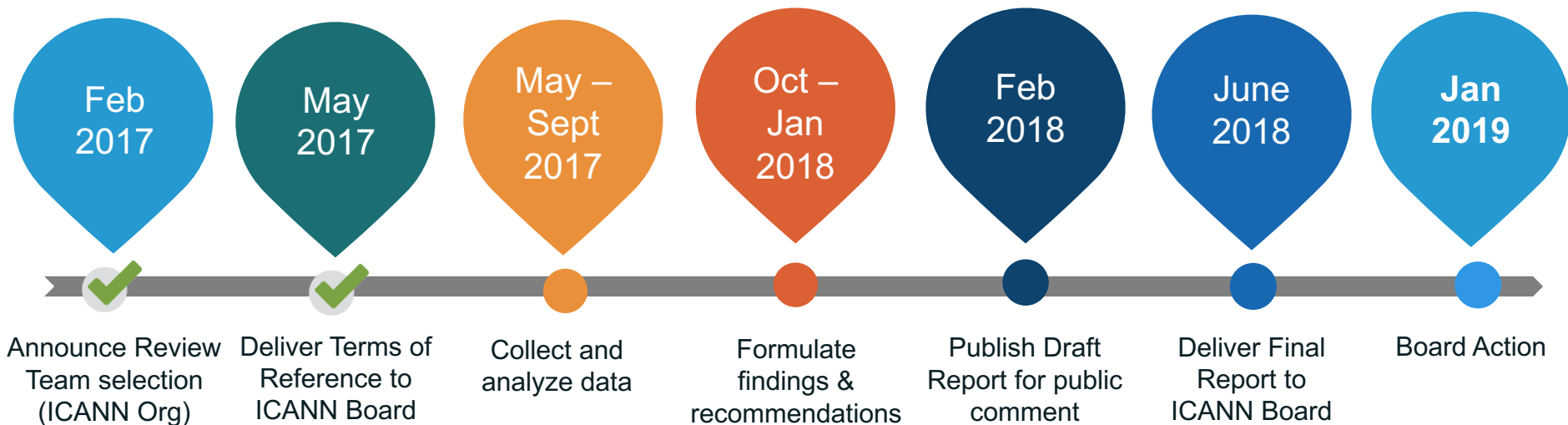
Review Status: FY17 Q4



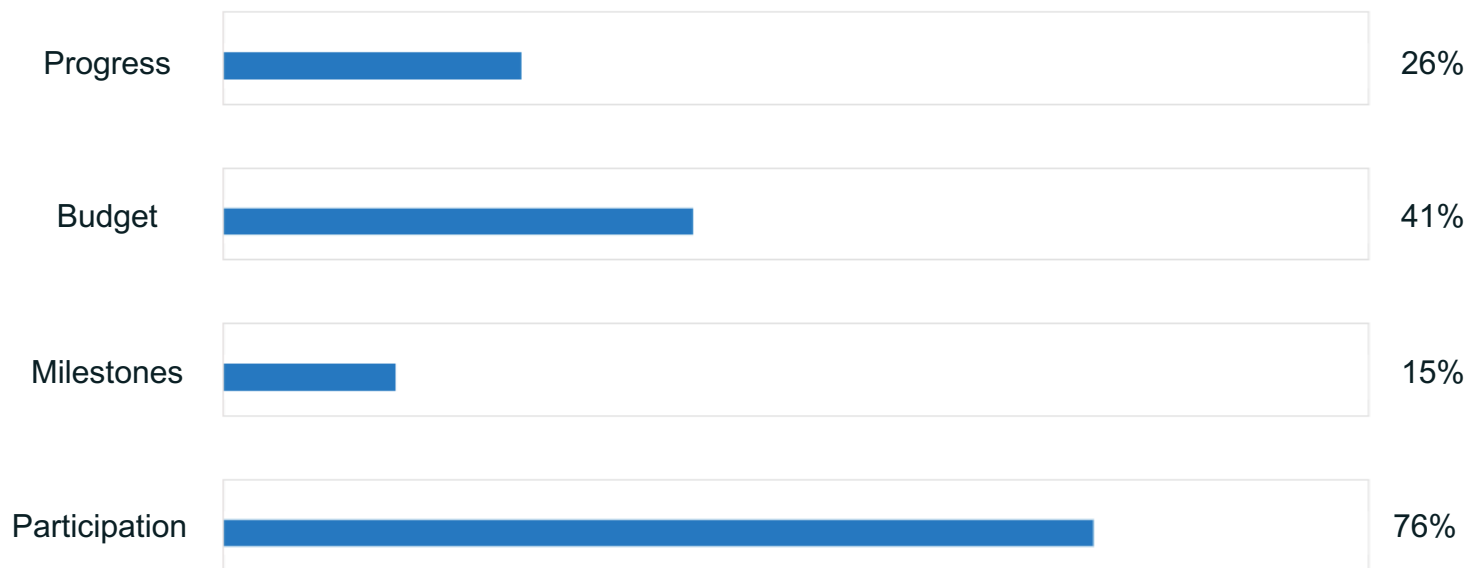
CCT Review Progress Report

Activity	Date	Status
Review Team agreed to work in plenary & 3 sub-teams: <ul style="list-style-type: none"> • Competition & Consumer Choice • Safeguards & Trust • Application & Evaluation Process 	February 2016	
CCT Draft Report Published for Public Comment	March 2017	
GDD Input on implementability of recommendations	May 2017	
Publication of Report of Public Comments	July 2017	
New sections with findings and recommendations on DNS Abuse, and INTA Study, sent for public comment	October (TBC) 2017	Scheduled
Close date of Public Comment Period on “New Sections of the Draft Report”	November (TBC) 2017	Scheduled
Face-to-Face Meeting #8, public consultation (Abu Dhabi)	ICANN60	Scheduled

SSR2: Review Timeline and Progress



Review Status: FY17 Q4

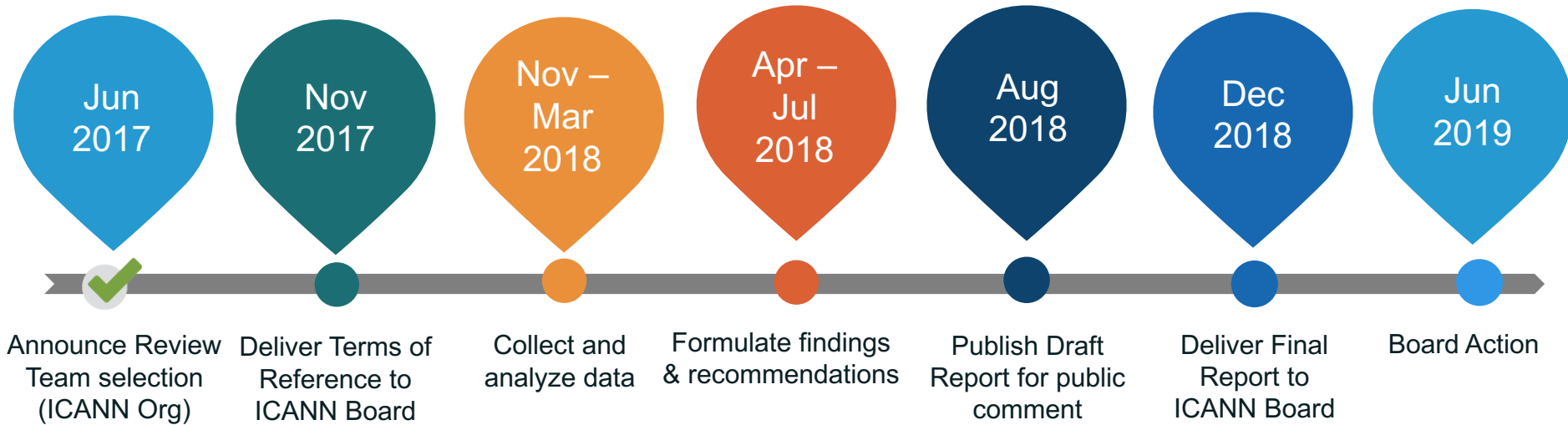


*Timeline is based on a current draft work plan. Estimated dates subject to change.

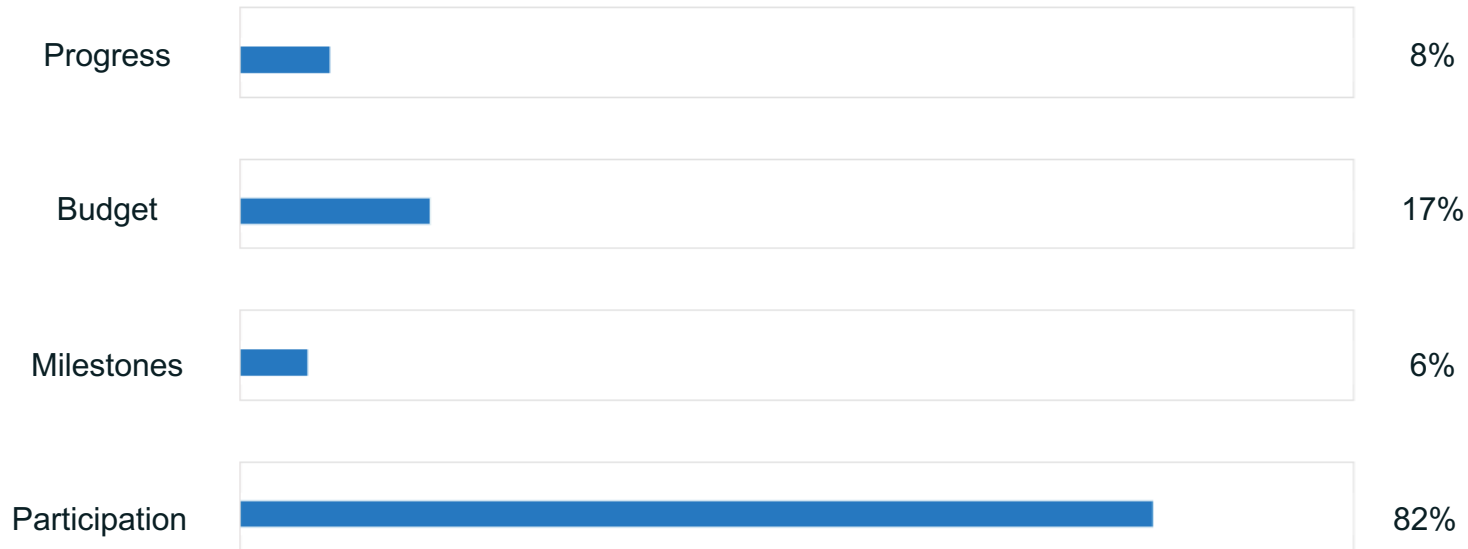
SSR2: Key Milestones

Activity	Date	Status
Review Team agreed to leadership by three Co-Chairs: <ul style="list-style-type: none"> • Denise Michel, GNSO • Eric Osterweil, RSSAC • Emily Taylor, GNSO (resigned July 2017) 	March 2017	
Sub-groups formed and draft work topics identified. Five key areas of review: <ul style="list-style-type: none"> • SSR1 Implementation • ICANN SSR • DNS SSR • Future Challenges • Impact of IANA transition on SSR 	May 2017	
Specifications for Gap Analysis of the Implementation of SSR1 Review Recommendations published <ul style="list-style-type: none"> • Anticipated start date November 2017 	September 2017	
ICANN SSR sub-group face-to-face meeting (Los Angeles)	9-10 October 2017	
Face-to-face meeting #4, public consultation, outreach meetings with SO/AC groups (Abu Dhabi)	ICANN60	Scheduled
Face-to-face meeting #5: Drafting session (Brussels)	January 2018	Scheduled

RDS-WHOIS2: Review Timeline and Progress



Review Status: FY17 Q4





RDS-WHOIS2: Key Milestones

Activity	Date	Status
Review Team announced <ul style="list-style-type: none">Comprised of 14 members*	June 2017	
Face-to-face meeting #1, Brussels	2-3 October 2017	
Review Team agreed to leadership by Chair and two Vice Chairs: <ul style="list-style-type: none">Alan Greenberg (Chair)Cathrin Bauer-Bulst (Vice-Chair)Susan Kawaguchi (Vice-Chair)	2-3 October 2017	
Scope, Terms of Reference, Work plan to be adopted and sent to the Board	24 November 2017	Scheduled

* The ccNSO has reserved the right to appoint up to 3 Review Team members once the scope of the Review has been determined.

ATRT3: Key Milestones

Activity	Date	Status
Call for Volunteers for the Third Review of ICANN Accountability and Transparency (ATRT3)	31 January 2017	
Extended Call for Volunteers closed	2 June 2017	
SO and AC Leadership are in the process of selecting review team members	In-Progress	
SO/AC Chairs to select review team	TBD	

Reviews Fact Sheet: CCT

Competition, Consumer Trust and Consumer Choice (CCT) Review

Fact sheet as of: 30-Jun-2017

Overview:

ICANN is committed to ensuring that, as it contemplates expanding the top-level domain space, the various issues that are involved will be adequately addressed prior to implementation.

These include issues such as competition, consumer protection, security, stability and resiliency, malicious abuse issues, sovereignty concerns, and rights protection.

The AoC also requires ICANN to convene a community-driven review to examine the extent to which the introduction or expansion of gTLDs has promoted competition, consumer trust and consumer choice, as well as the effectiveness of: The application and evaluation process and safeguards put in place to mitigate issues involved in the introduction or expansion.

Wiki Page: [CCT Wiki Home Page](#)
CCT-RT Email: input-to-cctr@icann.org
Review Questions: reviews@icann.org

CCT Chair: Jonathan Zuck
Competition and Consumer Choice Subteam Chair: Jordyn Buchanan
Safeguards & Trust Subteam Chair: Laureen Kapin

Section I: People (as of 30 June 2017)



ICANN Org (Individuals involved at various times throughout Review): **12**

Face-to-face meetings: **8 Meetings**
Calls (plenary and subteam): **81 Calls**
Volunteer meeting & call time: **2,849 Hours**
Personnel support time ⁽¹⁾ (estimated hours and cross-organizational): **9,442 Hours**

⁽¹⁾ Does not include time for Executives, Legal, IT Personnel and Other Organizational Support

Review Status (as of 30 June 2017)

Start Date: 13-Jan-2016 **Review Duration to Date:** **18 Months**
Expected Completion: 18-Oct-2017 **Total Expected Duration:** **21 Months**



Section II: Financial Resources (as of 30 June 2017)

Direct Review Costs ⁽¹⁾	FY17 Approved Budget ⁽²⁾	FY17 Budget Spent to Date ⁽³⁾	Committed FY17 Services ⁽⁴⁾	Remaining FY17 Budget	Actual Expenses:	
					Review to Date ⁽²⁾	Total Spent and Committed to Date
Professional Services - IAG-CCT	\$ -	\$ -	\$ -	\$ -	\$ 210,000	\$ 210,000
Professional Services - CCT	\$ 870,000	\$ 700,000	\$ 80,000	\$ 90,000	\$ 1,590,000	\$ 1,670,000
Travel	\$ 340,000	\$ 230,000	\$ -	\$ 110,000	\$ 370,000	\$ 370,000
Total	\$ 1,210,000	\$ 930,000	\$ 80,000	\$ 200,000	\$ 2,170,000	\$ 2,250,000

⁽¹⁾ Excludes ICANN Organization and overhead allocation.

⁽²⁾ Based on the most recent month end financials (may not include recent expenditures). Represents expenses incurred since inception of work.

⁽³⁾ Includes incremental approved professional services budget of \$169,000.

⁽⁴⁾ Professional services includes services from signed contracts to be provided or invoiced; travel includes upcoming scheduled meetings.

Section III: Milestones (as of 30 June 2017)

Project Management

20% of total effort



- Adopt terms of reference
- Adopt work plan
- Assemble subteams
- Prepare templates
- Solidify list of projects
- Adopt discussion paper template
- Adopt report shell/framework

Research and Studies

20% of total effort



- Identify data/sources needed for work
- Analyze data from research and studies

Draft Report

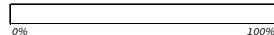
25% of total effort



- Assemble initial conclusions
- Populate discussion papers with findings and potential recs.
- Reach agreement on discussions papers
- Discuss/approve/consolidate findings and interim recommendations
- Approve interim recommendations
- Seek Board/ICANN Organization input on implementability of interim recommendations
- Circulate interim recommendation to Subsequent Procedures PDP WG
- Socialize interim recommendations with community
- Build and approve Draft Report for public comment
- Issue draft report for public comment and socialize draft recommendations with
- Produce summary of public comment received
- GDD to provide input on draft recommendations

New Sections

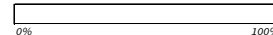
10% of total effort



- Review studies' input and incorporate as appropriate
- Produce additional recommendations/new sections, as appropriate and edit report
- Approve additional recommendations
- Publish new sections of the report for public comment
- Socialize changes to report with community
- Seek ICANN Org and Board Caucus WG input on implementability of recommendations
- Circulate to New gTLD Subsequent Procedures PDP WG
- Produce summary of public comments

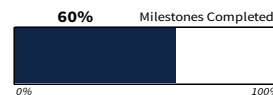
Final Report

25% of total effort



- Review public comment input received and incorporate as appropriate
- Seek Board/ICANN Organization input on implementability of final recommendations
- Circulate final recommendations to Subsequent Procedures PDP WG for input
- Finalize Report
- Adopt final recommendations and report
- Socialize final report with community
- Send final report to ICANN Board

TOTAL:



6 Phases for Organizational Reviews

1

Review Planning, ICANN organization prepares to support review and RWP appoints its leadership team and begins organizing for the Review

2

Selection of Independent Examiner includes preparation of the RFP, interviews and the OEC's confirmation of the selected IE

3

Conduct Review, according to the agreed scope and timeline

4

Feasibility Assessment, where the RWP reviews the Final Report and checks IE's recommendations for usability, feasibility, prioritization, resources, budget, and timeline

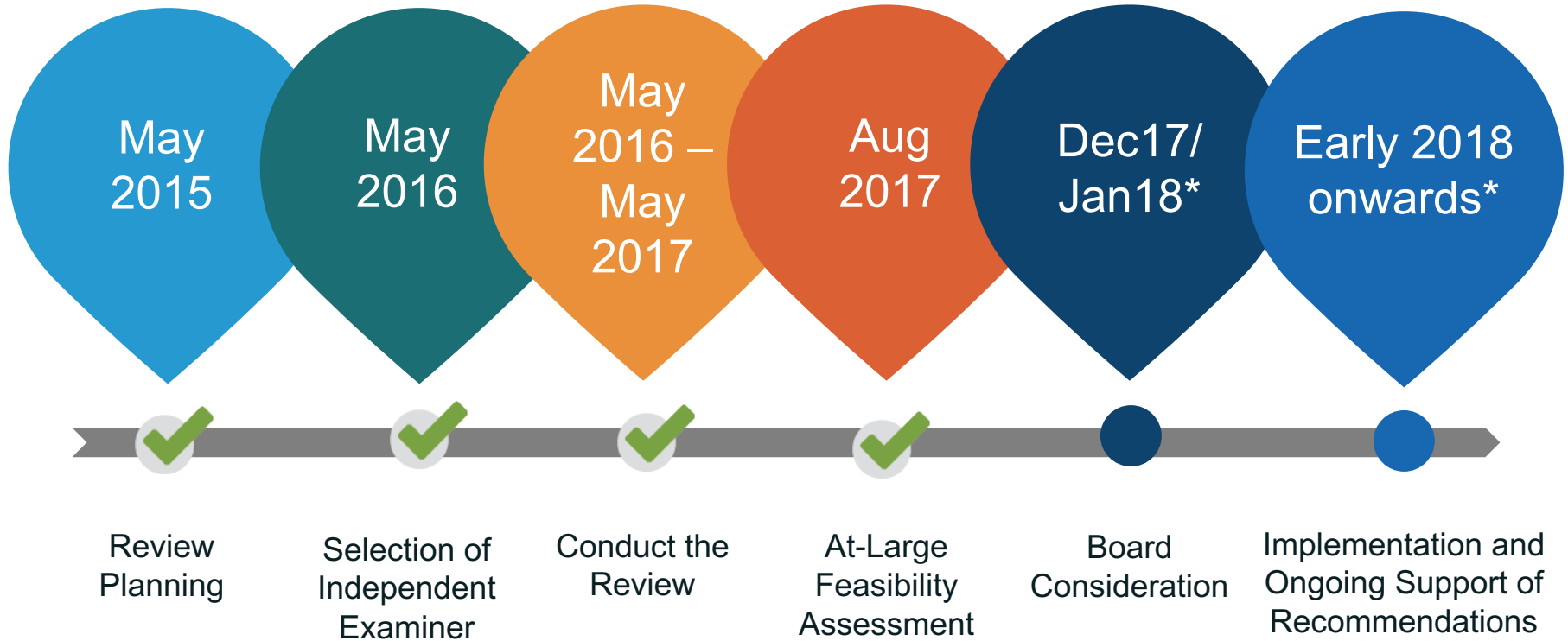
5

Board Consideration, where the OEC reviews the final report and the Feasibility Assessment, and the Board decides on adopting recommendations and next steps for implementation






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Implementation and Ongoing Support of Recommendations

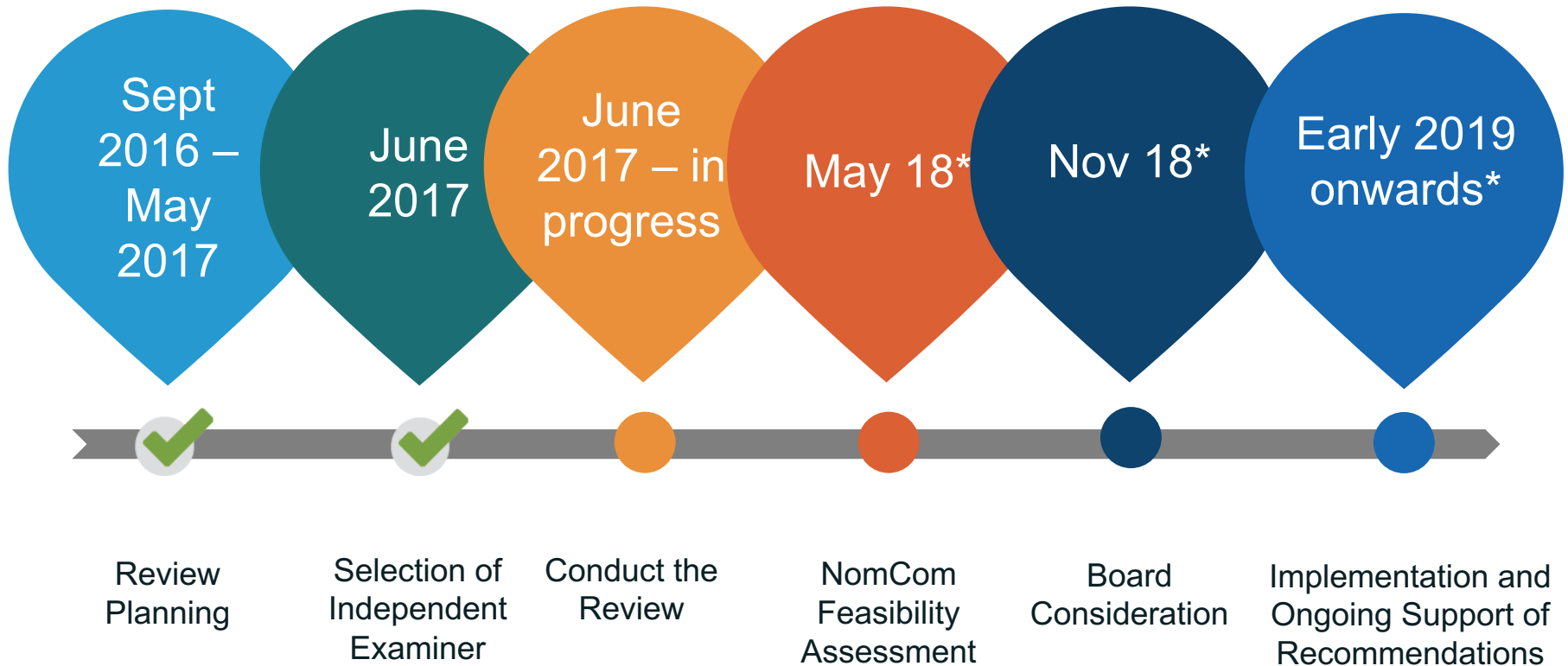
At-Large2: Review Timeline






At-Large2: Key Milestones

Activity	Date	Status
Review Working Party holds first formal meeting to plan for the review	May 2015	
ITEMS International selected as Independent Examiner to conduct the review	May 2016	
Interviews conducted and surveys distributed <ul style="list-style-type: none"> • Over 100 interviews conducted • More than 240 survey responses received 	September – November 2016	
Final Report published <ul style="list-style-type: none"> • Included 16 recommendations • 15 public comments received 	May 2017	
<ul style="list-style-type: none"> • ALAC completes feasibility assessment for the implementation of review recommendations 	Aug 2017	
<ul style="list-style-type: none"> • OEC consideration of Final Report and Feasibility Assessment 	Active	In-progress

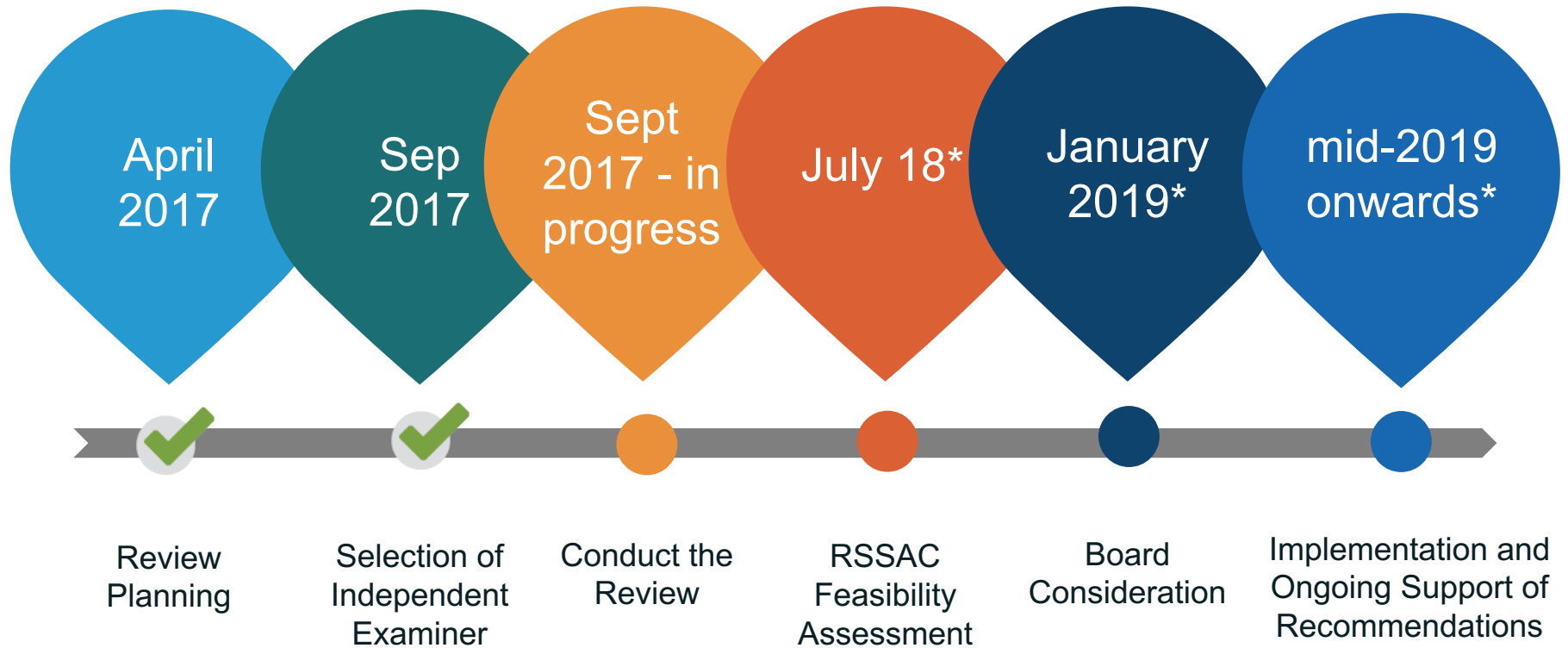
NomCom2: Review Timeline





NomCom2: Key Milestones

Activity	Date	Status
<p>Review Working Party announced</p> <ul style="list-style-type: none">• Comprised of 11 volunteers• Leadership by Chair (Tom Barrett) and Vice Chairs (Cheryl Langdon-Orr).• Stéphane Van Gelder stepped down as Chair September 2017. Thomas Barrett assumed role of Chair.	October 2016	
<p>Analysis Group selected as Independent Examiner to conduct the review</p>	June 2017	
<p>Interviews conducted</p> <ul style="list-style-type: none">• More than 30 interviews at ICANN59	March 2017	
<p>Review survey published for community input</p> <ul style="list-style-type: none">• Survey closes 13 October 2017	September 2017	Open for community input

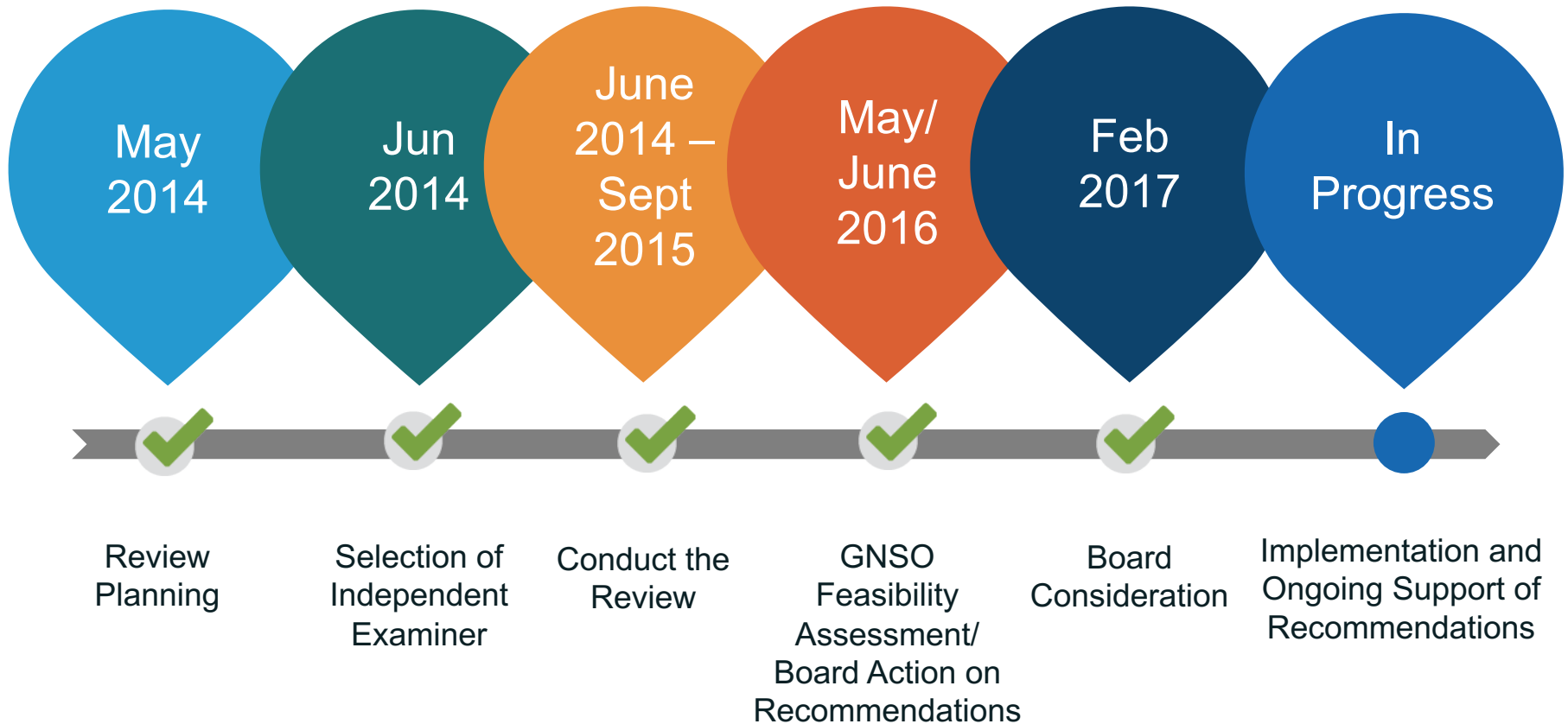
RSSAC2: Review Timeline









RSSAC2: Key Milestones

Activity	Date	Status
Interisle Consulting Group selected as Independent Examiner to conduct the review	September 2017	
Introductory call with Independent Examiner and Review Working Party	October 2017	
Interviews planned <ul style="list-style-type: none">• ICANN60• IETF100	November 2017	Scheduled
Survey published	November 2017	Scheduled

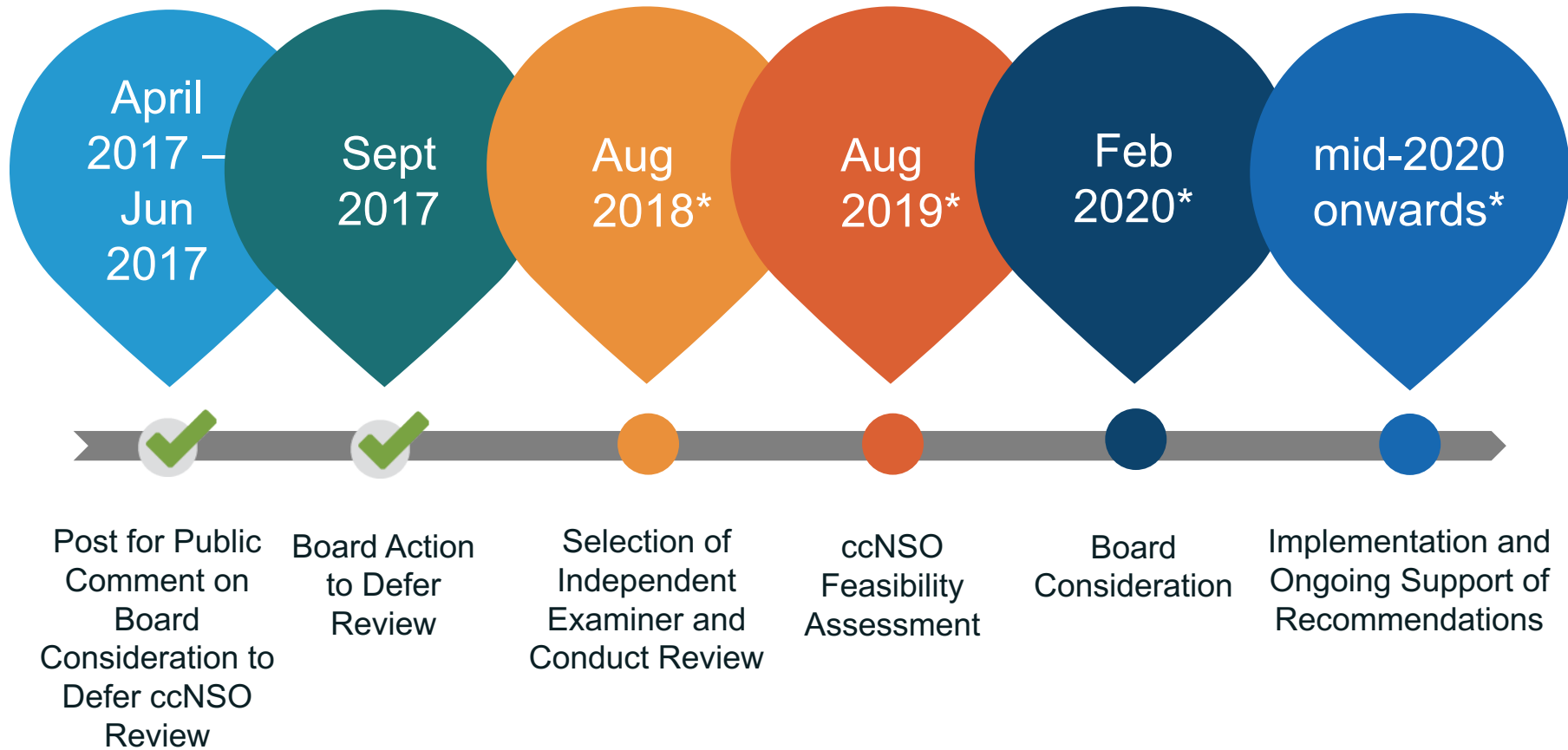
GNSO2: Review Timeline




GNSO2: Key Milestones

Activity	Date	Status
Westlake Governance selected as Independent Examiner to conduct the review	June 2014	
40 interviews conducted More than 150 survey responses received	July 2014	
Final report published: <ul style="list-style-type: none">• 36 recommendations• 15 public comments received on Draft Report	September 2015	
Board action <ul style="list-style-type: none">• 34 recommendations approved by GNSO Council and ICANN Board	June 2016	
Implementation plan adopted by GNSO Council	December 2016	
Board approved implementation plan	February 2017	

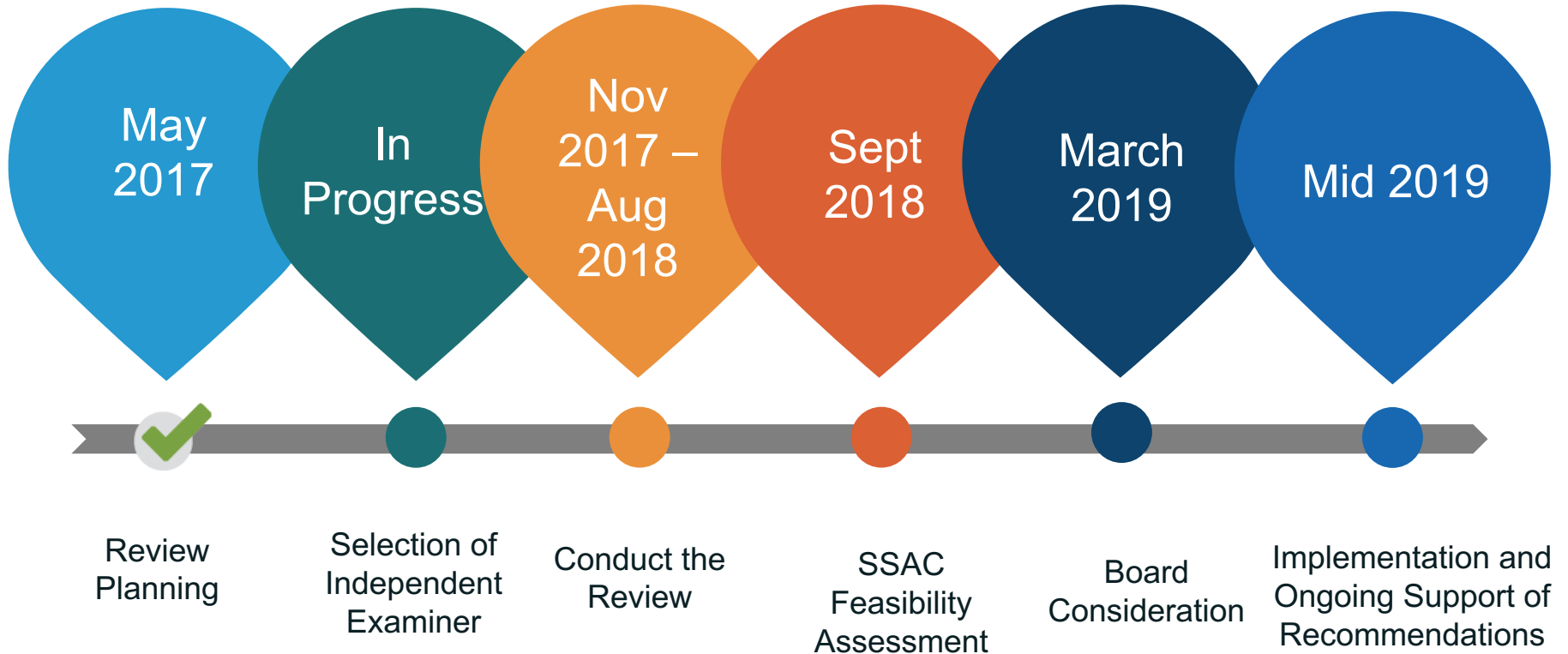
ccNSO: Review Timeline






ccNSO: Key Milestones

Activity	Date	Status
<p>The ICANN Board of Directors (Board) has adopted a resolution to defer the second Country Code Names Supporting Organization (ccNSO) Review.</p> <p>The second ccNSO Review has been deferred by 12 months in response to the ccNSO's concerns about its current workload. It is now due to start in August 2018.</p>	23 Sept 2017	

SSAC2: Review Timeline



SSAC2: Key Milestones

Activity	Date	Status
Board Resolution Initiating the Second Review of the Security and Stability Advisory Committee	18 May 2017	
Announcement of Independent Examiner Request for Proposals	7 July 2017	
Extended Deadline: Request for Proposal for the SSAC Organizational Review	1 Aug 2017	

OPERATING STANDARDS

Agenda Item #2

Operating Standards



Aligned with
ICANN
Bylaws

Community
collaboration &
OEC oversight

System for efficient
and effective
reviews



Support Organizational
and Specific Reviews by
providing consistent and
transparent processes
and practices



Community-wide
reference tool



Living document that
applies lessons learned
and best practices

Roadmap



OPPORTUNITIES TO PARTICIPATE

Agenda Item #3

How to Participate?

Choose Your Review!

Go to
www.icann.org/resources/reviews
and find out what Review interests
you

Get Plugged In!

Go to the Team wiki for the
latest updates on ways to
get involved



Observe
Meetings



Email your
input at
any time



Join open
community
sessions to
voice your
input



Participate in
surveys to inform
decision-making



Provide your
public comments
on findings and
recommendations



Apply to be
considered for
a review team

Opportunities to Participate: ICANN60 Sessions

- ***Cross-Community Session: Community Feedback on the Concluding the Work of CCWG – Accountability – WS2***
 - Cross-Community topic
 - 30 October @ 10:30 – 12:00 GST; Location: Hall 4
- ***Cross Community Session: Operating Standards for Specific Reviews***
 - Cross-Community topic
 - 30 October @ 15:15 – 16:45 GST; Location: Hall 4
- ***NomCom Review: an Update by the Independent Examiner***
 - Engagement session with community
 - 1 November @ 9:00 – 10:15 GST; Location: Capital Suite 19
- ***Cross Community Session: General Data Protection Regulation (GDPR) Implications***
 - Cross-community topic
 - 2 November @ 10:30 – 12:00 GST; Location: Hall 4

Opportunities to Participate: ICANN60 Sessions

- **CCWG Accountability – WS2 – Face to Face Meeting**
 - All day face-to-face working session
 - 27 October @ 8:30 – 17:30 GST; Location: Hall 3 (GAC)
- **Competition, Consumer Trust, and Consumer Choice (CCT) Review**
 - All day face-to-face working session
 - 27 October @ 9:00 – 17:30 GST; Location: Capital Suite 3
- **CCT Review Engagement Session**
 - Engagement session with community
 - 29 October @ 12:15 – 13:15 GST; Location: Hall A, Section A
- **CCT Review Team Meeting with the Board Caucus Group**
 - Engagement session with Board
 - 1 November @ 15:15 – 16:30 GST; Location: Hall A, Section B/C
- **Security, Stability, and Resiliency (SSR2) Review**
 - All day face-to-face working session
 - 27 October and 3 November @ 9:00 – 17:30 GST; Location: Capital Suite 1
- **Security, Stability, and Resiliency (SSR2) Review**
 - Engagement session with community
 - 29 October @ 13:30 – 15:00 GST; Location: Capital Suite 14

Find the Latest Information on Specific Reviews

Pages / Security, Stability & Resiliency of the DNS Review Team

SSR2 Review

Created by Pamela Smith, last modified by Yvette Guigneaux on Sep 18, 2017

WHAT IS THE PURPOSE OF THIS REVIEW?

The Second Security, Stability, and Resiliency of the Domain Name System Review is mandated by ICANN Bylaws Section 4.6(c) to examine how effectively ICANN is meeting its commitment to enhance the operational stability, reliability, resiliency, security and global interoperability of the systems/processes (internal/external) that affect the Internet's unique identifiers.

WHERE ARE WE IN THE PROCESS?

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graph TD; SOP[Standard Operating Process] --> ART[Assemble Review Team]; ART --> PR[Plan Review]; PR --> CR[Conduct Review]; CR --> BA[Board Action]; BA --> PI[Plan Implementation]; PI --> II[Implement Improvements]; II --> SOP;
```

MEET THE SSR2 REVIEW TEAM

VIEW THE REVIEW FACT SHEET

See the progress of the Review Team towards completing the Review.

VIEW REVIEW MILESTONES, OUTPUTS, AND DELIVERABLES

Visit the SSR2 Specific Review Page on ICANN.org

OPPORTUNITIES TO PARTICIPATE

- Observe SSR2 meetings live: [Learn more](#)
- Listen to past SSR2 meetings: [Learn more](#)
- Stay up-to-date on key documents: [Learn more](#)
- Share your input with the SSR2 Review Team via their public email list input-to-ssr2rt@icann.org
- Subscribe to the SSR2 mailing list as an observer! Send a request to ssr2-observers@icann.org

KEY NEWS AND UPDATES

An Update from the Second Security, Stability and Resiliency of the Domain Name System (DNS) Review Team (SSR2)

19 Jul 2017 - The SSR2 review team recently held a two-day face-to-face meeting in Johannesburg, South Africa, prior to ICANN59. The review team is examining security, operational stability, and resiliency matters related to ICANN's coordination of the Internet's system of unique identifiers. Here, review team member Alain Aina explains why the SSR2 Review is important and shares some highlights from the recent meeting. [Learn more.](#)

Find the information you need, when you need it. With so many specific review-related activities going on, it can be challenging to stay up-to-date with the latest news and opportunities to participate. To help you find the information you're looking for, we've given the ICANN review wiki pages a makeover! Check out the updated wiki pages and make sure to bookmark those that interest you. Find links on the following slide:

Explore the Reviews

REVIEW	ICANN.ORG LINK	WIKI LINK
Specific	https://www.icann.org/resources/reviews/specific-reviews plus the extension below	https://community.icann.org/display plus the extension below
ATRT	/atrt	/atrt
CCT	/cct	/CCT
RDS (WHOIS)	/whois	/WHO/RDS-WHOIS2+Review
SSR	/ssr	/SSR
Organizational	https://www.icann.org/resources/reviews/org plus the extension below	https://community.icann.org/display plus the extension below
At-Large	/alac	/AT
ASO	/aso	
ccNSO	/ccnso	/ACCCNSO
GNSO	/gnso	
NomCom	/nomcom	/OR
RSSAC	/rssac	/ACCRSSAC
SSAC	/ssac	/ACCSSAC



Thank You and Questions